



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Richard A. Mazur, et al.

Serial No.: 09/541,170

Filed: April 3, 2000

For: APPARATUS WITH TWO OR MORE
POCKETS FOR DOCUMENT
PROCESSING

)
) Group Art Unit: 3653
)
) Examiner: Mark J. Beauchaine
)
) Atty. Docket No.: 47171-00267USC1
)
)
)

PATENT
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GROUP 3600
#10/

*Terminal
Disclaimer
1/10/02
(N.E.)*

COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Attention: Box Responses-Fee

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First-Class Mail, postage prepaid, in an envelope addressed to the COMMISSIONER FOR PATENTS, Washington, D.C. 20231, on November 29, 2001.

11/29/01
Date

Shirley White
Signature

01/02/2002 SMINASS1 00000090 09541170

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**TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL
OBVIOUSNESS-TYPE DOUBLE PATENTING REJECTION**

Dear Sir:

The owner, Cummins-Allison Corp., of one hundred (100) percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. §§ 154-156 and 173, as presently shortened by any terminal disclaimer, of co-pending U.S. Application Nos. 09/542,487, 09/607,019, 09/611,279 and 09/864,423. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the application are commonly

owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. §§ 154-156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically, the Assignment of the instant application (as well as the four applications identified above) to Cummins-Allison Corp., which was submitted to the U.S. Patent and Trademark Office on January 8, 1998, for recordal, a copy of which is attached hereto, and certifies that, to the best of his knowledge and belief, title of the instant application (as well as the four applications identified above) is in the name of Assignee, Cummins-Allison Corp.

For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.

I, Jeffrey G. Knoll, Vice-President and General Counsel of Cummins-Allison Corp., hereby affirm that I am a representative authorized to sign on behalf of the Assignee identified as owning all of the interest in this application.

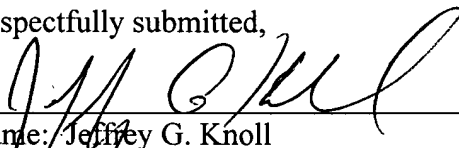
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and, further, that these

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Enclosed is a check in the amount of \$110.00 for the fee set forth in 37 C.F.R. § 1.20(d) for filing this Terminal Disclaimer. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 10-0447 (47171-00267USC1). A duplicate copy of this Terminal Disclaimer is enclosed for that purpose.

Date: 11/26/01

Respectfully submitted,



Name: Jeffrey G. Knoll
Title: Vice President and General Counsel
Assignee: Cummins-Allison Corp.

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do/does hereby:

SELL, ASSIGN AND TRANSFER to **CUMMINS-ALLISON CORP.** (the "Assignee"), a corporation of Indiana having a place of business at 891 Feehanville Drive, Mt. Prospect, Illinois 60056, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled Method and Apparatus for Document Processing, filed on May 28, 1997 under Serial No. 08/864,423, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

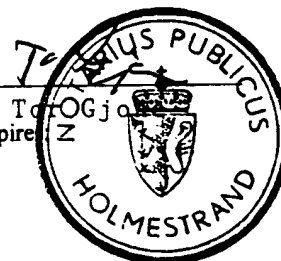
(Signature) Lars R. Stromme Date 12-9-1997
Name: **Lars R. Stromme**

CITY OF Holmestrand)
) ss.
COUNTRY OF Norway)

BEFORE ME, the undersigned authority, on this 9th day of december, 1997, personally appeared **Lars R. Stromme** known to me to be the person whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

[seal]

Notary Public
My Commission Expires



(Signature) Matthew L. Anderson Date Dec 4, 19
Name: Matthew L. Anderson

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Matthew L. Anderson known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Bradford T. Graves Date 12/4/97
Name: Bradford T. Graves

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Bradford T. Graves known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) William J. Jones Date Dec. 4, 97
Name: William J. Jones

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared William J. Jones known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.

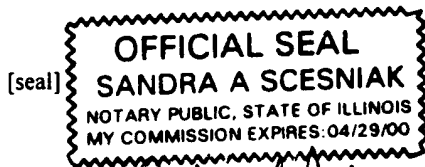


Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Robert J. Klein Date 12/4/97
Name: Robert J. Klein

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Robert J. Klein known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.

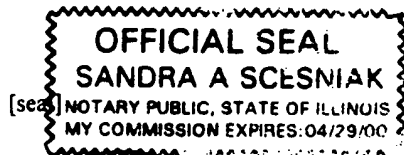


Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Richard A. Mazur Date 12-4-97
Name: Richard A. Mazur

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Richard A. Mazur known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.

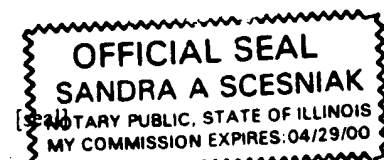


Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Douglas U. Mennie Date 12/3/97
Name: Douglas U. Mennie

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 3 day of December, 1997,
personally appeared Douglas U. Mennie known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.



Sandra A. Scesniak
Notary Public
My Commission Expires:

(Signature) Mark C. Munro Date 12-4-97
Name: Mark C. Munro

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Mark C. Munro known to me to be the person whose names are subscribed to the foregoing
instrument and acknowledged to me that he executed the same of his own free will for the purposes and
consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:

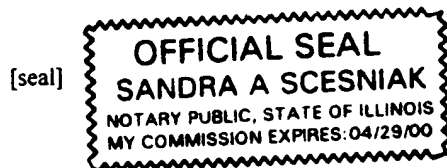


(Signature) Heinz W. Schreiter Date 12-4-97
Name: Heinz W. Schreiter

STATE OF Illinois)
) ss.
COUNTY OF Cook)

BEFORE ME, the undersigned authority, on this 4 day of December, 1997,
personally appeared Heinz W. Schreiter known to me to be the person whose names are subscribed to the
foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes
and consideration therein expressed.

Sandra A. Scesniak
Notary Public
My Commission Expires:



* R4P Cum 124
KIH/GAT CHICAGO
AUGUST 31, 1998



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

PTAS



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ARNOLD, WHITE & DURKEE
STEPHEN G. RUDISILL
P.O. BOX 4433
HOUSTON, TX 77210

SEP 2 1998

CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/12/1998

REEL/FRAME: 8890/0809
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
STROMME, LARS R.

DOC DATE: 12/07/1997

ASSIGNOR:
ANDERSON, MATTHEW L.

DOC DATE: 12/07/1997

ASSIGNOR:
GRAVES, BRADFORD T.

DOC DATE: 12/07/1997

ASSIGNOR:
JONES, WILLIAM J.

DOC DATE: 12/07/1997

ASSIGNOR:
KLEIN, ROBERT J.

DOC DATE: 12/07/1997

ASSIGNOR:
MAZUR, RICHARD A.

DOC DATE: 12/07/1997

ASSIGNOR:
MENNIE, DOUGLAS U.

DOC DATE: 12/07/1997

CHECKED BY:	
1ST CHECK	NO
2ND CHECK	JS

REC'D - A.W.D.

SEP 04 1998

DOCKET DESK

8890/0809 PAGE 2

ASSIGNOR:
MUNRO, MARK C.

DOC DATE: 12/07/1997

ASSIGNOR:
SCHREITER, HEINZ W.

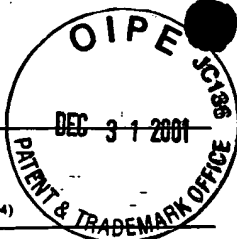
DOC DATE: 12/07/1997

ASSIGNEE:
CUMMINS-ALLISON CORP.
891 FEEHANVILLE DRIVE
MT. PROSPECT, ILLINOIS 60056

SERIAL NUMBER: 08864423
PATENT NUMBER:

FILING DATE: 05/28/1997
ISSUE DATE:

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



08-18-1998

CUMM:174

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



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U.S. Department of Commerce
Patent and Trademark Office

RECEIPT ACTING DIV

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original and one copy thereof.

1. Name of conveying party(ies):

Lars R. Stromme
Matthew L. Anderson
Bradford T. Graves
William J. Jones
Robert J. Klein
Richard A. Mazur
Douglas U. Mennie
Mark C. Munro
Heinz W. Schreiter

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 7, 1997

2. Name and address of receiving party(ies):

Name: Cummins-Allison Corp

Internal Address: _____

Street Address: 891 Feehanville DriveCity: Mt. Prospect State: IL Zip: 60056Additional name(s) & address(es) attached? ☐ Yes ☐ No4. Application number(s) or registration number(s): 08/864,423

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 08/864,423

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen G. Rudisill, Esq.Internal Address: Arnold, White & DurkeeStreet Address: P.O. Box 4433City: Houston State: Texas Zip: 772106. Total number of applications and patents involved: One7. Total fee (37 C.F.R. 3.41) \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul R. Kitch

Name of Person Signing

Paul R. Kitch
Signature1/8/98
DateTotal number of pages including cover sheet, attachments and document: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

01/21/1998 JSHABRZZ 00000060 08864423
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